

# HYPERSURFACES WHOSE TANGENT GEODESICS OMIT A NONEMPTY SET

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Dedicated to Manofredo P. do Carmo on his sixtieth birthday

## 1. Introduction

Let  $Q_c^{n+1}$  be an  $n + 1$ -dimensional, simply-connected, complete Riemannian manifold with constant sectional curvature  $c$ . Let  $M^n$  be an  $n$ -dimensional connected manifold and  $x : M^n \rightarrow Q_c^{n+1}$  be an immersion. For every point  $p \in M^n$ , let  $(Q_c^n)_p$  be the totally geodesic hypersurface of  $Q_c^{n+1}$  tangent to  $x(M^n)$  at  $x(p)$ .

We will denote by

$$W = Q_c^{n+1} \setminus \bigcup_{p \in M} (Q_c^n)_p$$

the set of points which are omitted by the totally geodesic hypersurfaces tangent to  $x(M^n)$ . In this work we study the immersions for which the set  $W$  is nonempty.

The first known result in this direction is due to Halpern. He proved in [6] that every compact hypersurface immersed in the euclidean space with nonempty  $W$  is diffeomorphic to the sphere and it is, in fact, embedded. We show that the same happens when the ambient space is  $Q_c^{n+1}$ ,  $c$  arbitrary (see Proposition 4.1). If, in addition, the immersion is isometric with constant mean curvature, we prove that  $x(M^n)$  is, actually, a geodesic sphere (see Theorem 4.2). The case when  $x$  is minimal, was proved by Pogorelov in [11].

Halpern also proved in [6] that if  $M^n$  is compact and  $x : M^n \rightarrow \mathbb{R}^{n+1}$  is an immersion with nonempty  $W$ , then  $W$  is, in fact, open. In the case  $M^n$  is complete noncompact there are several examples where the set  $W$  is nonempty, but not open. One such example is the hyperboloid of one sheet in  $\mathbb{R}^3$ , for which the set  $W$  consists of a single point. However, Hasanis and Koutroufiots proved in [7] that if an immersion  $x : M^2 \rightarrow Q_c^3$ ,  $c \geq 0$ , is minimal with nonempty  $W$ , then  $x$  is totally geodesic. In particular,  $W$  is open. The proof of this result uses

strongly the hypothesis that  $M$  has dimension two. We show that the same holds for arbitrary dimensions if we assume, in addition, that the set  $W$  is open (Theorem 3.1). Recently, the first author, in his Doctoral thesis at IMPA, gave examples of nontotally geodesics minimal hypersurfaces in  $\mathbb{R}^{2n}$ ,  $n \geq 4$ , with nonempty  $W$ .

This paper is organized as follows. In section 2, we extend for  $Q_c^{n+1}$ , the notions of position vector and support function. This is essentially known (see, for instance, Heintze [8]) but, since we need the details, we will present a full exposition. A geometric interpretation of the support function is also presented in this section. In section 3, we study minimal immersions with nonempty  $W$ . We prove Theorem (3.1) above and show that every minimal hypersurface in  $Q_c^{n+1}$ ,  $c \leq 0$ , with nonempty  $W$  is stable. In section 4, we study the compact hypersurfaces in  $Q_c^{n+1}$  with nonempty  $W$ .

We would like to thank M. P. do Carmo for suggesting this topic to us and for some ideas that lead us to Theorem (3.1).

## 2. Support Function in Spaces of Constant Curvature

Let  $M^n$  be an oriented Riemannian manifold, and let  $x : M^n \rightarrow \mathbb{R}^{n+1}$  be an isometric immersion. Given  $p_0 \in \mathbb{R}^{n+1}$ , let  $X(p) = p - p_0$  be the position vector with origin  $p_0$ . The support function  $g : M^n \rightarrow \mathbb{R}$  of the immersion  $x$  is given by

$$g(p) = \langle x(p), N(p) \rangle,$$

where  $N$  is a unit normal vector field of  $x$ . We will extend, for  $Q_c^{n+1}$ ,  $c \neq 0$ , the notions of position vector and support function.

Let  $S_c$  be a solution of the equation  $y'' + cy = 0$ , with initial conditions  $y(0) = 0$  and  $y'(0) = 1$ . Then

$$S_c(r) = \begin{cases} r, & \text{if } c = 0, \\ \sin(\sqrt{c} r)/\sqrt{c}, & \text{if } c > 0, \\ \sinh(\sqrt{-c} r)/\sqrt{-c}, & \text{if } c < 0. \end{cases}$$

For every point  $p_0 \in Q_c^{n+1}$ , we will consider the function  $r(\cdot) = d(\cdot, p_0)$ , where  $d$  is the distance function of  $Q_c^{n+1}$ , and we will denote by  $\text{grad } r$  the gradient of the function  $r$  in  $Q_c^{n+1}$ . We know that when  $c = 0$  the position vector with origin

$p_0$  is given by  $X(p) = S_0(r) \text{ grad } r$ . By analogy, the vector field, in  $Q_c^{n+1}$ ,  $X(p) = S_c(r) \text{ grad } r$  will be called *position vector* with origin  $p_0$ . When  $c > 0$ , the distance function is differentiable in  $Q_c^{n+1}/\{p_0, -p_0\}$ . Therefore, in this case, the position vector with origin  $p_0$  is differentiable in  $Q_c^{n+1}/\{p_0, -p_0\}$ .

Let  $M^n$  be an oriented Riemannian manifold,  $x : M^n \rightarrow Q_c^{n+1}$  an isometric immersion, and  $N$  an unit normal vector field of  $x$ . As in the case  $c = 0$ , the function  $g : M^n \rightarrow \mathbb{R}$  defined by  $g = \langle X, N \rangle$ , where  $X$  is the position vector with origin  $p_0$ , will be called the *support function* of the immersion  $x$ . In the case  $c > 0$ , this function is differentiable if  $x(M^n) \subseteq Q_c^{n+1}/\{p_0, -p_0\}$ .

For the case  $c = 0$ ,  $|g(p)|$ ,  $p \in M^n$ , is the distance from  $p_0$  to the tangent hyperplane to  $x(M^n)$  at  $x(p)$ . We will now give a geometric interpretation of the support function  $g$  for  $c \neq 0$  that generalizes the above.

In the case  $c > 0$ , we will assume that  $Q_c^{n+1}$  is the sphere of radius  $1/\sqrt{c}$  in  $\mathbb{R}^{n+2}$ . Then,  $|g(p)|$ ,  $p \in M^n$ , is the euclidean distance from the point  $p_0$  to the hyperplane which contains the totally geodesic hypersurface tangent to  $x(M^n)$  at  $x(p)$ . In fact, since

$$(1) \quad p_0 = \cos(\sqrt{c} r(p)) p - \frac{\sin(\sqrt{c} r(p))}{\sqrt{c}} \text{ grad } r(p),$$

we have

$$(2) \quad \langle p_0, N(p) \rangle = -\frac{\sin(\sqrt{c} r(p))}{\sqrt{c}} \langle \text{grad } r(p), N(p) \rangle = -g(p).$$

So  $|g(p)| = |\langle p_0, N(p) \rangle|$ .

In the case  $c < 0$ , let  $L^{n+2}$  be the euclidean space  $\mathbb{R}^{n+2}$  endowed with the Riemannian pseudo-metric  $\langle \langle \cdot \cdot \rangle \rangle$ , defined by

$$\langle \langle v, w \rangle \rangle = v_1 w_1 + v_2 w_2 + \dots + v_{n+1} w_{n+1} - v_{n+2} w_{n+2},$$

where  $v = (v_1, \dots, v_{n+2})$  and  $w = (w_1, \dots, w_{n+2})$  are vectors in  $\mathbb{R}^{n+2}$ . Let  $\mathbb{H}^{n+1}(c)$  be the hypersurface of  $L^{n+2}$  given by

$$\mathbb{H}^{n+1}(c) = \left\{ v \in L^{n+2}; v_{n+2} > 0 \text{ and } \langle \langle v, v \rangle \rangle = \frac{1}{c} \right\}.$$

It is well known that  $\mathbb{H}^{n+1}(c)$  with the induced metric is a model of the hyperbolic space  $Q_c^{n+1}$ , called *hyperboloid model*.

We can assume, without loss of generality, that  $p_0 = (0, \dots, 0, 1/\sqrt{-c})$ . In this case, the euclidean distance from  $p_0$  to the hyperplane that passes through the

origin of  $\mathbb{R}^{n+2}$  and contains the totally geodesic hypersurface,  $(Q_c^n)_p$ , tangent to  $x(M^n)$  at  $x(p)$ ,  $p \in M^n$ , is given by

$$\frac{|g(p)|}{\sqrt{1 + 2g(p)^2}}.$$

In fact, since

$$(3) \quad p_0 = \cosh(\sqrt{-c} r(p))p - \frac{\sinh(\sqrt{-c} r(p))}{\sqrt{-c}} \text{grad } r(p),$$

we have that

$$(4) \quad \langle p_0, N(p) \rangle = -g(p).$$

Let  $N(p) = (N_1, \dots, N_{n+1}, N_{n+2})$ . Then  $\langle p_0, N(p) \rangle = -N_{n+2}$  and  $\langle p_0, N(p) \rangle = N_{n+2}$ , where  $\langle \cdot, \cdot \rangle$  is the usual inner product. Since  $\langle n(p), N(p) \rangle = 1$ ,  $\langle p, N(p) \rangle = 0$  and  $\langle v, N(p) \rangle = 0$  for every  $v \in T_p(Q_c^n)_p$ , we have that

$$\bar{N}(p) = \frac{(N_1, \dots, N_{n+1}, N_{n+2})}{\sqrt{1 + 2N_{n+2}^2}}.$$

is an unit vector in  $\mathbb{R}^{n+2}$  orthogonal to the hyperplane that passes through the origin of  $\mathbb{R}^{n+2}$  and contains  $(Q_c^n)_p$ . Therefore, the euclidean distance from  $p_0$  to this hyperplane is given by

$$(5) \quad |\langle p_0, \bar{N}(p) \rangle| = \left| \frac{-N_{n+2}}{\sqrt{1 + 2N_{n+2}^2}} \right| = \frac{|g(p)|}{\sqrt{1 + 2g(p)^2}},$$

and this proves our assertion.

We now assume that the immersion  $x : M^n \rightarrow Q_c^{n+1}$  has constant mean curvature  $H$ . Setting  $\theta_c = S'_c$ , we have in the case  $c = 0$  that  $\Delta g = -\|B\|^2 g - n H \theta_c$ . The proposition below says that this equation holds for any  $c$ .

**2.1 Proposition.** *Let  $M^n$  be an oriented Riemannian manifold and let  $x : M^n \rightarrow Q_c^{n+1}$  be an isometric immersion with constant mean curvature  $H$ . Then*

$$\Delta g = -\|B\|^2 g - n H \theta_c,$$

where  $\Delta$  is the Laplacian in  $M^n$  and  $\|B\|$  is the norm of the second fundamental form  $B$  of the immersion  $x$ .

**Proof.** The result was proved in [1] for the case  $c = 0$ . If  $c > 0$  or  $c < 0$ , we have by Lemma (3.3) in [2] that

$$\Delta f = -\|B\|^2 f + n c H h,$$

where  $f(p) = \langle N(p), p_0 \rangle$ ,  $h(p) = \langle p, p_0 \rangle$  and  $\langle \cdot, \cdot \rangle$  denotes the euclidean and Lorentz inner product, respectively. But, from (2) and (4),  $g = -f$ , and from (1) and (3),  $\theta_c = ch$ . Therefore

$$\Delta g = -\|B\|^2 g - n H \theta_c.$$

The mean value equality (6) below generalizes for  $c \neq 0$  the Minkowski's equality in  $\mathbb{R}^{n+1}$  ( $c = 0$ ,  $\theta_c = 1$ ). For completeness, we will present a complete proof.

**2.2 Proposition. (Heintze [8], pag. 19).** *Let  $M^n$  be a compact Riemannian manifold and let  $x : M^n \rightarrow Q_c^{n+1}$  be an isometric immersion. Then*

$$(6) \quad \int_M H g dA = - \int_M \theta_c dA,$$

where  $H$  is the mean curvature of  $x$ .

**Proof.** Let  $X$  be the position vector with origin  $p_0$  and  $e_1, \dots, e_n$  be a local orthonormal frame of  $TM$ . Denote by  $\text{div}_M$  the divergence in  $M^n$ , and by  $X^t$  and  $X^N$  the tangent and normal components, respectively, of the vector  $X$ .

Since  $\langle X^N, e_i \rangle = 0$ , we have that  $\langle \bar{\nabla}_{e_i} X^N, e_i \rangle = -\langle X, (\bar{\nabla}_{e_i} e_i)^N \rangle$ , and so

$$\begin{aligned} \text{div}_M X^T &= \sum_{j=1}^n \langle \bar{\nabla}_{e_j} X^T, e_j \rangle = \sum_{j=1}^n \langle \bar{\nabla}_{e_j} X, e_j \rangle - \sum_{j=1}^n \langle \bar{\nabla}_{e_j} X^N, e_j \rangle \\ &= \sum_{j=1}^n \langle \bar{\nabla}_{e_j} X, e_j \rangle + \sum_{j=1}^n \langle X, (\bar{\nabla}_{e_j} e_j)^N \rangle, \end{aligned}$$

where  $\bar{\nabla}$  is the Riemannian connection of  $Q_c^{n+1}$ .

On the other hand, we have that  $\sum_{j=1}^n \langle \bar{\nabla}_{e_j} X, e_j \rangle = n \theta_c$ . In fact,

$$(7) \quad \begin{aligned} \sum_{j=1}^n \langle \bar{\nabla}_{e_j} X, e_j \rangle &= \sum_{j=1}^n \langle \bar{\nabla}_{e_j} (S_c(r) \text{grad } r), e_j \rangle \\ &= \theta_c(r) \sum_{j=1}^n \langle \text{grad } r, e_j \rangle^2 + S_c(r) \sum_{j=1}^n \langle \bar{\nabla}_{e_j} \text{grad } r, e_j \rangle. \end{aligned}$$

But, as we can see in (Jorge, Koutroufiotis [10], pg. 713), we have that

$$(8) \quad \langle \bar{\nabla}_v \text{grad } r, w \rangle = \frac{\theta_c}{S_c} (\langle v, w \rangle - \langle \text{grad } r, v \rangle \langle \text{grad } r, w \rangle),$$

for any vector fields  $v, w$  in  $Q_c^{n+1}$ .

Then, from (7) and (8),

$$\begin{aligned} \sum_{j=1}^n \langle \bar{\nabla}_{e_j} X, e_j \rangle &= \theta_c(r) \sum_{j=1}^n \langle \text{grad } r, e_j \rangle^2 + \theta_c(r) \sum_{j=1}^n (1 - \langle \text{grad } r, e_j \rangle^2) \\ &= n \theta_c. \end{aligned}$$

Thus, since  $\sum_{j=1}^n (\bar{\nabla}_{e_j} e_j)^N = H N$ ,

$$\text{div}_M X^T = n \theta_c + n H g.$$

By integrating the above expression over  $M^n$ , we obtain

$$\int_M H g \, dA = - \int_M \theta_c \, dA.$$

This complete the proof.

**2.3 Remark.** In [8], assuming only that the sectional curvature of the ambient space is bounded above, it is proven that an inequality still holds in the last proposition.

### 3. Minimal Hypersurfaces with Nonempty $W$

**3.1 Theorem.** *Let  $M^n$  be a complete Riemannian manifold and let  $x : M^n \rightarrow Q_c^{n+1}$  be an isometric minimal immersion. If the set  $W$  is open and nonempty, then  $x$  is totally geodesic.*

**Proof.** Let  $p_0 \in W$  and  $X$  be the position vector with origin  $p_0$ . For each point  $p \in M^n$ , let  $N(p)$  be the unit normal vector to  $X(M^n)$  at  $x(p)$  such that  $\langle X(p), N(p) \rangle > 0$ . This gives  $M^n$  an orientation, according to which the support function  $g = \langle X, N \rangle$  is positive.

Let  $d = \inf\{g(p); p \in M^n\}$ . Assume that there is a point  $p \in M^n$  such that  $g(p) = d$ . Since, from (1.2),  $\Delta g = -\|B\|^2 g$ , we have  $\Delta g \leq 0$ . Then, from the Maximum Principle,  $g$  is constant equal to  $d$ . Thus  $\|B\| \equiv 0$ , i.e.,  $x$  is totally geodesic, for  $\Delta g = 0$  and  $g$  vanishes nowhere.

Therefore, the proof will be complete if we show that there is a point  $p \in M^n$  such that  $g(p) = d$ . For that, we will consider a sequence of points  $\{p_k\}_{k \geq 0}$  in  $M^n$  such that  $g(p_k) \rightarrow d$ , when  $k \rightarrow \infty$ .

We will treat separately the cases  $c = 0$ ,  $c > 0$  and  $c < 0$ , and we will assume, without loss of generality, that  $c = 1$ , when  $c > 0$  and  $c = -1$  when  $c < 0$ .

**Case  $c = 0$ .** For each point  $p_k$ , we will consider the point  $q_k$ , intersection of  $T_{p_k}M^n$  with the perpendicular line to  $T_{p_k}M^n$  which passes through  $p_0$ . Since  $d(q_k, p_0) = g(p_k)$  is a bounded sequence, there is a subsequence  $\{q_{k_j}\}$  that converges to a point  $q \in \mathbb{R}^{n+1}$ . Then  $q \in T_pM^n$  for some point  $p \in M^n$ , since  $\cup_{p \in M} T_pM^n$  is closed and  $q_k \in T_{p_k}M^n$  for every  $k$ . Therefore  $g(p) = d(p_0, T_pM) = d$ , for  $d(p_0, q) = d$  and

$$d \leq d(p_0, T_pM) \leq d(p_0, q) = d.$$

**Case  $c = 1$ .** For each point  $p_k$ , let  $s_k$  be the orthogonal projection of  $p_0$  over the hyperplane of  $\mathbb{R}^{n+2}$  which contains  $(Q_c^n)_{p_k}$  and let  $q_k$  be the intersection of  $(Q_c^n)_{p_k}$  with the line which passes through the origin and the point  $s_k$ . Since, for every  $k$ ,  $q_k \in Q_c^{n+1} = S^{n+1}$  and  $s_k \in \mathbb{B}^{n+2} = \{p \in \mathbb{R}^{n+2}; \|p\| \leq 1\}$ , there is a subsequence  $k_j$  such that  $\{q_{k_j}\}$  converges to a point  $q \in S^{n+1}$  and  $\{s_{k_j}\}$  converges to a point  $s \in \mathbb{B}^{n+2}$ . Then  $q \in (Q_c^n)_p$  for some point  $p \in M^n$ , since  $\cup_{p \in M} (Q_c^n)_p$  is closed in  $S^{n+1}$ . Moreover,  $s$  and  $q$  are colinear, because  $s_k$  and  $q_k$  are colinear for every  $k$ . Thus  $s$  belongs to the hyperplane  $L_p$  of  $\mathbb{R}^{n+2}$  that contains  $(Q_c^n)_p$ . Since  $g(p_k) = d(s_k, p_0)$  and

$$d \leq g(p) = d(p_0, L_p) \leq d(p_0, s) = \lim_{k \rightarrow \infty} d(s_k, p_0) = d,$$

we have that  $g(p) = d$ .

**Case  $c = -1$ .** To prove the theorem in this case we will use the hyperboloid model of  $Q_c^{n+1}$  (cf. section 2). In the same way as in the preceding case, we can define the point  $s_k$ . Form (5), the euclidean distance of  $p_0$  to the hyperplane of  $\mathbb{R}^{n+2}$  which passes through the origin and contains  $(Q_c^n)_{p_k}$  is given by

$$\|s_k - p_0\| \frac{g(p_k)}{\sqrt{1 + 2g(p_k)^2}},$$

where  $\| \cdot \|$  is the euclidean norm.

We assert that  $\langle\langle s_k, s_k \rangle\rangle < 0$ , where  $\langle\langle \cdot, \cdot \rangle\rangle$  is the Lorentz inner product. If  $\langle\langle s_k, s_k \rangle\rangle \geq 0$ , we have

$$\|s_k - p_0\| \geq \frac{\sqrt{2}}{2},$$

since  $s_k$  and  $s_k - p_0$  are perpendicular. Then

$$\frac{g(p_k)^2}{1 + 2g(p_k)^2} \geq \frac{1}{2},$$

which is a contradiction and proves the assertion.

Let  $\lambda_k > 0$  be such that  $\lambda_k^2 \langle\langle s_k, s_k \rangle\rangle = -1$ , and let  $q_k = \lambda_k s_k$ , i.e.,  $q_k$  is the intersection of  $(Q_c^n)_{p_k}$  with the line which passes through the origin and through  $s_k$ .

Since the sequence  $\{s_k\}_{k \geq 0}$  is bounded, by passing to a subsequence if necessary, there exists a point  $s$  such that  $s_k \rightarrow s$ , as  $k \rightarrow \infty$ . We can prove, as before, that  $\langle\langle s, s \rangle\rangle < 0$ , since  $s$  and  $s - p_0$  are perpendicular and

$$\|s - p_0\|^2 = \frac{d^2}{1 + 2d^2}. \text{ Thus the sequence } \{q_k\} \text{ is bounded, since the sequence}$$

$\left\{ \frac{1}{\langle\langle s_k, s_k \rangle\rangle} \right\}$  is bounded from below by a positive constant and

$$\|q_k\|^2 = -\frac{\|s_k\|^2}{\langle\langle s_k, s_k \rangle\rangle}.$$

Let  $\{q_{k_j}\}$  be a subsequence which converges to a point  $q \in Q_c^{n+1}$ . Since  $\cup_{p \in M} (Q_c^n)_p$  is closed, and  $q_k \in (Q_c^n)_{p_k}$  for every  $k$ , we have that  $q \in (Q_c^n)_p$ , for some point  $p \in M^n$ . Moreover,  $s$  belongs to the hyperplane  $L_p$  of  $\mathbb{R}^{n+2}$  which contains  $(Q_c^n)_p$ , for  $s$  and  $q$  are colinear. Thus  $g(p) = d$ , since

$$\frac{g(p)}{\sqrt{1 + 2g(p)^2}} = d(p_0, L_p) \leq \|s - p_0\| = \frac{d}{\sqrt{1 + 2d^2}},$$

where  $d(p_0, L_p)$  is the euclidean distance from  $p_0$  to  $L_p$ .

When the set  $W$  is only nonempty, we have obtained the following result, for the cases  $c \leq 0$ .

**3.2 Proposition.** *Let  $M^n$  be a complete Riemannian manifold and  $x : M^n \rightarrow Q_c^{n+1}$ ,  $c \leq 0$ , be a minimal isometric immersion. If  $W$  is nonempty, then  $x$  is stable.*

**Proof.** Let  $p_0 \in W$  and  $X$  be the position vector with origin  $p_0$ . Since  $p_0 \in W$ , we can choose an orientation  $N$  in  $M^n$  for which the support function  $g = \langle X, N \rangle$

is positive. From Proposition (2.1),  $\Delta g + \|B\|^2 g = 0$ . In ([4], Theorem 1) F. Colbrie and R. Schoen proved that an operator of the type  $\Delta + q$ , where  $q : M \rightarrow \mathbb{R}$  is a differentiable function, is positive if and only if there is a positive differentiable function  $f : M \rightarrow \mathbb{R}$  such that  $\Delta f + qf = 0$ . Since the support function is positive and  $\Delta g + \|B\|^2 g = 0$ , the operator  $\Delta + \|B\|^2$  is positive definite, i.e.,

$$\int_M (|\text{grad } f|^2 - \|B\|^2 f^2) dA > 0,$$

for every nonzero function  $f : M \rightarrow \mathbb{R}$  with compact support in  $M^n$ . Then, if  $c \leq 0$ ,

$$\int_M (|\text{grad } f|^2 - (\|B\|^2 + nc)f^2) dA > 0$$

for every such function  $f$ . Since the Ricci curvature of  $Q_c^{n+1}$  is  $nc$ , we obtain that the operator  $A + \|B\|^2 + \text{Ricc}(N)$  is positive definite, i.e.,  $x$  is stable.

**3.3 Remark.** From the result of Hasanis and Koutroufiotis mentioned in the introduction we have that the above proposition doesn't hold for  $c > 0$ .

**3.4 Remark.** In ([5], pg. 57) J. Gomes gave examples of stable minimal hypersurface in  $Q_c^{n+1}$ ,  $c < 0$ , which are not totally geodesic. For these hypersurfaces it is easy to see that the set  $W$  is empty. Then the converse of the above proposition is not true.

#### 4. Compact Hypersurfaces with Nonempty $W$

We first generalize the result of Halpern mentioned in the introduction.

**4.1 Proposition.** *Let  $M^n$  be a connected, compact manifold, and let  $x : M^n \rightarrow Q_c^{n+1}$  be an immersion. If  $W$  is nonempty, then  $M^n$  is diffeomorphic to the sphere  $S^n$  and  $x$  is an embedding.*

**Proof.** The case  $c = 0$  has been proved by Halpern in [6]. Let  $c < 0$  and let  $\mathbb{R}_+^{n+1} = \{(v_1, \dots, v_{n+1}) \in \mathbb{R}^{n+1}; v_{n+1} > 0\}$  be the euclidean half space with the usual metric. To prove the proposition in this case, it is sufficient to notice that there is a diffeomorphism  $B : Q_c^{n+1} \rightarrow \mathbb{R}_+^{n+1}$  which preserves the totally geodesic submanifolds. This mapping, usually known as Beltrami's mapping can be found,

for instance in (do Carmo, Warner [3], pg. 142). Since a diffeomorphism preserves tangency, the above result follows immediately from the case  $c = 0$ .

To prove the case  $c > 0$ , we will make use of an argument which was used by Halpern in [6]. Let  $p_0 \in W$ . We can assume, without loss of generality, that  $c = 1$  and  $p_0 = e_{n+1} = (0, \dots, 0, 1)$ . Since  $e_{n+1} \in W$ ,  $-e_{n+1} \notin x(M^n)$ , because every totally geodesic hypersurface of  $S^{n+1}$  which passes through  $-e_{n+1}$  also passes through  $e_{n+1}$ . Since  $x(M^n) \subseteq S^{n+1} \setminus \{e_{n+1}, -e_{n+1}\}$ , the function  $r(\cdot) = d(\cdot, e_{n+1})$  is differentiable in  $x(M^n)$ , and  $(\exp_{e_{n+1}})^{-1} : x(M^n) \rightarrow B_\pi(0)$  is well defined; here  $\exp_{e_{n+1}}$  is the exponential map of  $S^{n+1}$  at  $e_{n+1}$  and

$$B_\pi(0) = \{v \in T_{e_{n+1}}S^{n+1}; \|v\| < \pi\}.$$

Let  $p \in S^{n+1} \setminus \{e_{n+1}, -e_{n+1}\}$  and let  $\gamma_p : [0, \pi) \rightarrow S^{n+1}$  be the geodesic such that  $\gamma_p(0) = e_{n+1}$  and  $\gamma_p(r(p)) = p$ . There exists a unit vector  $v(p) \in T_{e_{n+1}}S^{n+1}$ ,  $v(p) = \exp_{e_{n+1}}^{-1}(p)/r(p)$ , such that

$$\gamma_p(t) = \cos t e_{n+1} + \sin t v(p).$$

Since  $\gamma_p(r(p)) = p$ , we have

$$v(p) = (p - \cos r(p) e_{n+1}) / \sin r(p).$$

Now let  $\rho : S^{n+1} \setminus \{e_{n+1}, -e_{n+1}\} \rightarrow S^n$  be the map which associates to each point  $p$ , the point  $\gamma_p(\pi/2)$ . This map is a kind of Gauss' map, and  $\rho(p) = v(p)$ . Since

$$\begin{aligned} d\rho_p(w) &= \frac{1}{(\sin r(p))^2} [(w + dr_p(w) \sin r(p) e_{n+1}) \sin r(p) \\ &\quad - dr_p(w) \cos r(p) (p - \cos r(p) e_{n+1})] \end{aligned}$$

we have that  $d\rho_p(w) = 0$ , if and only if,

$$\begin{aligned} w &= dr_p(w) \left( \frac{\cos r(p)}{\sin r(p)} (p - \cos r(p) e_{n+1}) - \sin r(p) e_{n+1} \right) \\ &= dr_p(w) (\cos r(p) v(p) - \sin r(p) e_{n+1}). \end{aligned}$$

Thus  $d\rho_p(w) = 0$ , if and only if,  $w$  is a scalar multiple of  $\gamma_p'(r(p))$ , since

$$\gamma_p'(r(p)) = -\sin r(p) e_{n+1} + \cos r(p) v(p).$$

We will now consider the map  $F = \rho \circ x : M^n \rightarrow S^n$ . Since  $e_{n+1} \notin W$ ,  $\gamma'_p(r(p)) \notin dx_p(T_p M)$ . Then the map  $F$  is a local diffeomorphism. On the other hand, since  $M^n$  is compact and  $S^n$  is simply connected,  $F$  is a diffeomorphism. So  $x$  is an embedding, for  $F = \rho \circ x$ .

In the compact case with constant mean curvature we obtain the following result.

**4.2 Theorem.** *Let  $M^n$  be a connected, compact Riemannian manifold and let  $x : M^n \rightarrow Q_c^{n+1}$  be an isometric immersion with constant mean curvature  $H$ . Then  $W$  is nonempty, if and only if,  $x$  is umbilic, i.e.,  $x(M^n)$  is a geodesic sphere of  $Q_c^{n+1}$ .*

**Proof.** Let  $p_0 \in W$  and  $X$  be the position vector with origin  $p_0$ . Since  $p_0 \in W$ , the support function  $g = \langle X, N \rangle$  is nonzero at every point. We can assume that  $g > 0$ .

From Proposition (2.1),  $\Delta g = -\|B\|^2 g - n H \theta_c$ . By integrating this expression over  $M^n$ , and by using Stokes' Theorem, we obtain

$$0 = \int_M \Delta g \, dA = - \int_M (\|B\|^2 g + n H \theta_c) \, dA.$$

Thus  $\int_M \|B\|^2 g \, dA = -n H \int_M \theta_c \, dA$ . But, from (6),

$$\int_M \|B\|^2 g \, dA = -n H \int_M \theta_c \, dA = n H^2 \int_M g \, dA.$$

Since  $\|B\|^2 \geq nH^2$  and  $g > 0$ , we have that  $\|B\|^2 = nH^2$ , which proves that the immersion is umbilic.

**4.3 Remark.** Alexandrov's Theorem says that if  $x : M^n \rightarrow Q_c^{n+1}$ ,  $c \leq 0$ , is an isometric embedding with constant mean curvature, then  $x(M^n)$  is a geodesic sphere. For the case  $c > 0$ , this result holds if  $x(M^n)$  is contained in an hemisphere of  $Q_c^{n+1}$ . Therefore, Alexandrov's Theorem, together with Proposition (4.1), gives another proof of Theorem (2.2), with the restriction made above when  $c > 0$ .

**4.4 Remark.** Examples of tori in  $\mathbb{R}^3$  (see Wente [12]) and nonumbilic immersions  $x : S^n \rightarrow Q_c^{n+1}$ ,  $c \leq 0$ , with constant mean curvature (see Gomes [5], Hsiang [9]) are known. Therefore, in these examples, the set  $W$  is empty.

In (L. Barbosa, do Carmo, Eschenburg [2]) the following theorem was proved: Let  $M^n$  be a compact Riemannian manifold and  $x : M^n \rightarrow Q_c^{n+1}$  be an isometric immersion with constant mean curvature. Then  $x$  is stable, if and only if,  $x$  is umbilic. From this result, we obtain the following Corollary of Theorem (4.2).

**4.5 Corollary.** *Let  $M^n$  be a compact Riemannian manifold, and let  $x : M^n \rightarrow Q_c^{n+1}$  be an isometric immersion with constant mean curvature. Then  $W$  is nonempty, if and only if,  $x$  is stable.*

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