CONVEXITY IN LOCALLY CONFORMALLY FLAT MANIFOLDS WITH BOUNDARY

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Abstract. **Given a closed subset Λ of the open unit ball $B_1 \subset \mathbb{R}^n$, $n \geq 3$, we will consider a complete Riemannian metric g on $\overline{B_1} \setminus \Lambda$ of constant scalar curvature equal to $n(n-1)$ and conformally related to the Euclidean metric. In this paper we prove that every closed Euclidean ball $\overline{B} \subset B_1 \setminus \Lambda$ is convex with respect to the metric g, assuming the mean curvature of the boundary ∂B_1 is nonnegative with respect to the inward normal.**

1. Introduction

Let B_1 denote the open unit ball of $\mathbb{R}^n$, $n \geq 3$. Given a closed subset $\Lambda \subset B_1$, we will consider a complete Riemannian metric g on $\overline{B_1} \setminus \Lambda$ of constant positive scalar curvature $R(g) = n(n-1)$ and conformally related to the Euclidean metric δ. We will also assume that g has nonnegative boundary mean curvature. Here, and throughout this paper, second fundamental forms will be computed with respect to the inward unit normal vector.

In this paper we prove

Theorem 1.1. *If $B \subset B_1 \setminus \Lambda$ is a standard Euclidean ball, then ∂B is convex with respect to the metric g.*

Here, we say that ∂B is *convex* if its second fundamental form is positive definite. Since ∂B is umbilical in the Euclidean metric and the notion of an umbilical point is conformally invariant, we know that ∂B is also umbilic in the metric g. In that case ∂B is convex if its mean curvature h is positive everywhere.

This theorem is motivated by an analogous one on the sphere due to R. Schoen [15]. He shows that if $\Lambda \subset S^n$ $n \geq 3$, is closed and nonempty and g is a complete Riemannian metric on $S^n \setminus \Lambda$, conformal to the standard round metric g_0 and with constant positive scalar curvature $n(n-1)$, then every standard ball $B \subset S^n \setminus \Lambda$ is convex with respect to the metric g. Schoen used this geometrical result to prove the compactness of the set of solutions to the Yamabe problem in the locally conformally flat case. Later, D. Pollack also used Schoen's theorem to prove a compactness result for the singular

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Yamabe problem on the sphere where the singular set is a finite collection of points $\Lambda = \{p_1, \dots, p_k\} \subset S^n$, $n \geq 3$ (see [14]).

In this context the Theorem 1.1 can be viewed as the first step in the direction of proving compactness for the singular Yamabe problem with boundary conditions.

We shall point out that the problem of finding a metric satisfying the hypotheses of Theorem 1.1 is equivalent to finding a positive solution to an elliptic PDE with critical Sobolev exponent. On the other hand this problem is invariant by conformal transformations. So, by applying a convenient *inversion* on the Euclidean space we may consider the same problem on an unbounded subset of $\mathbb{R}^n$. The idea of the proof is to show that, if ∂B is not convex, then we can find a smaller ball $\widetilde{B} \subset B$ with non convex boundary either. To do this we will use the hypothesis on the mean curvature of ∂B_1 and get geometrical information from that equation by applying the Moving Planes Method as in [9]. The contradiction follows by the constructions of theses balls.

2. Preliminaries

In this section we will introduce some notations and we shall recall some results that will be used in the proof of Theorem 1.1. We will also describe a useful example.

Let (M^n, g_0) be a smooth orientable Riemannian manifold, possibly with boundary, $n \geq 3$. Let us denote by $R(g_0)$ its scalar curvature and by $h(g_0)$ its boundary mean curvature. Let $g = u^{\frac{4}{n-2}} g_0$ be a metric conformal to g_0. Then the positive function u satisfies the following nonlinear elliptic partial differential equation of critical Sobolev exponent

$$\begin{cases} \Delta_{g_0} u - \frac{n-2}{4(n-1)} R(g_0) u + \frac{n-2}{4(n-1)} R(g) u^{\frac{n+2}{n-2}} = 0 & \text{in } M, \\ \frac{\partial u}{\partial \nu} - \frac{n-2}{2} h(g_0) u + \frac{n-2}{2} h(g) u^{\frac{n}{n-2}} = 0 & \text{on } \partial M, \end{cases} \tag{1}$$

where ν is the inward unit normal vector field to ∂M.

The problem of existence of solutions to (1), when $R(g)$ and $h(g)$ are constants, is referred to as the *Yamabe problem.* It was completely solved when $\partial M = \emptyset$ in a sequence of works, beginning with H. Yamabe himself [18], followed by N. Trudinger [17] and T. Aubin [1], and finally by R. Schoen [16]. In the case of nonempty boundary, J. Escobar solved almost all the cases (see [6], [7]) followed by Z. Han and Y. Li [10], F. Marques [12] and others. In this article, however, we wish to study solutions of (1), with $R(g)$ constant, which become singular on a closed subset $\Lambda \subset M$. This is the so called *singular Yamabe problem.* This singular behavior is equivalent, at least in the case that g_0 is conformally flat, to requiring g to be complete on $M \setminus \Lambda$. The existence problem (with $\partial M = \emptyset$) displays a relationship between the size of Λ and the sign of $R(g)$. It is known that for a solution with $R(g) < 0$ to exist, it is necessary and sufficient that $\dim(\Lambda) > \frac{n-2}{2}$ (see [2], [13] and [8]), while if a solution exists with $R(g) \geq 0$, then $\dim(\Lambda) \leq \frac{n-2}{2}$. Here $\dim(\Lambda)$

stands for the Hausdorff dimension of Λ. In this paper we will treat the case of constant positive scalar curvature, which we suppose equal to $n(n-1)$ after normalization. In this case the simplest examples are given by the Fowler solutions which we will now discuss briefly.

Let $u : \mathbb{R}^n \setminus \{0\} \to \mathbb{R}$ be a positive smooth function such that

$$\begin{cases} \Delta u + \frac{n(n-2)}{4} u^{\frac{n+2}{n-2}} = 0 & \text{in } \mathbb{R}^n \setminus \{0\}, n \geq 3, \\ 0 \text{ is an isolated singularity.} \end{cases} \tag{2}$$

In this case, $g = u^{\frac{4}{n-2}} \delta$ is a complete metric on $\mathbb{R}^n \setminus \{0\}$ of constant scalar curvature $n(n-1)$.

Using the invariance under conformal transformations we may work in different background metrics. The most convenient one here is the cylindrical metric $g_{cyl} = d\theta^2 + dt^2$ on $S^{n-1} \times \mathbb{R}$. In this case $g = v^{\frac{4}{n-2}} g_{cyl}$, where v is defined in the whole cylinder and satisfies

$$\frac{d^2 v}{dt^2} + \Delta_\theta v - \frac{(n-2)^2}{4} v + \frac{n(n-2)}{4} v^{\frac{n+2}{n-2}} = 0. \tag{3}$$

One easily verifies that the solutions to equation (2) and (3) are related by

$$u(x) = |x|^{\frac{2-n}{2}} v(x/|x|, -\log |x|). \tag{4}$$

By a deep theorem of Caffarelli, Gidas and Spruck (see [3], Theorem 8.1) we know that v is rotationally symmetric, that is $v(\theta, t) = v(t)$, and therefore the PDE (3) reduces to the following ODE:

$$\frac{d^2 v}{dt^2} - \frac{(n-2)^2}{4} v + \frac{n(n-2)}{4} v^{\frac{n+2}{n-2}} = 0.$$

Setting $w = v'$ this equation is transformed into a first order Hamiltonian system

$$\begin{cases} \frac{dv}{dt} = w, \\ \frac{dw}{dt} = \frac{(n-2)^2}{4} v - \frac{n(n-2)}{4} v^{\frac{n+2}{n-2}}, \end{cases}$$

whose Hamiltonian energy is given by

$$H(v, w) = w^2 - \frac{(n-2)^2}{4} v^2 + \frac{(n-2)^2}{4} v^{\frac{2n}{n-2}}.$$

The solutions $(v(t), v'(t))$ describe the level sets of H and we note that $(0,0)$ and $(\pm v_0, 0)$, where $v_0 = \left(\frac{n-2}{n}\right)^{\frac{n-2}{4}}$, are the equilibrium points. We restrict ourselves to the half-plane $\{v > 0\}$ where $g = v^{\frac{4}{n-2}} g_{cyl}$ has geometrical meaning. On the other hand we are looking for complete metrics. Those will be generated by the *Fowler solutions*: the periodic solutions around the equilibrium point $(v_0, 0)$. They are symmetric with respect to v-axis and can be parametrized by the minimum value ε attained by v, $\varepsilon \in (0, v_0]$, (and a translation parameter T). We will denote them by v_ε. We point out that v_0 corresponds to the scaling of g_{cyl} which makes the cylinder $S^{n-1} \times \mathbb{R}$ have scalar curvature $n(n-1)$. We observe that one obtains the Fowler solutions u_ε in $\mathbb{R}^n \setminus \{0\}$ by using the relation (4).

We can now construct metrics satisfying the hypotheses of Theorem 1.1 (with $\Lambda = \{0\}$) from the Fowler solutions. To do this, we just take a Fowler solution v defined for $t \geq t_0$, where t_0 is such that we have $w = \frac{dv}{dt} \leq 0$, or equivalently,

$$h(g) = -\frac{2}{n-2} v^{-\frac{n}{n-2}} \frac{dv}{dt} \geq 0.$$

We point out that, by another result of Caffarelli, Gidas and Spruck (see Theorem 1.2 in [3]) it is known that, given a positive solution u to

$$\Delta u + \frac{n(n-2)}{4} u^{\frac{n+2}{n-2}} = 0 \tag{5}$$

which is defined in the punctured ball $B_1 \setminus \{0\}$ and which is singular at the origin, there exists a unique Fowler solution u_ε such that

$$u(x) = (1 + o(1)) u_\varepsilon(|x|) \ \text{ as } \ |x| \to 0.$$

Therefore, from equation (4) (see also [11]), either u extends as a smooth solution to the ball, or there exist positive constants C_1, C_2 such that

$$C_1 |x|^{(2-n)/2} \leq u(x) \leq C_2 |x|^{(2-n)/2}.$$

3. PROOF OF THEOREM 1.1

The proof will be by contradiction. If ∂B is not convex then, since it is umbilical, there exists a point $q \in \partial B$ such that the mean curvature of ∂B at q (with respect to the inward unit normal vector) is $H(q) \leq 0$. If we write $g = u^{\frac{4}{n-2}} \delta$ we have that u is a positive smooth function on $\overline{B_1} \setminus \Lambda$ satisfying

$$\begin{cases} \Delta u + \frac{n(n-2)}{4} u^{\frac{n+2}{n-2}} = 0 & \text{in } B_1 \setminus \Lambda, \\ \frac{\partial u}{\partial \nu} - \frac{n-2}{2} u + \frac{n-2}{2} h u^{\frac{n}{n-2}} = 0 & \text{on } \partial B_1. \end{cases} \tag{6}$$

Now, we will choose a point $p \in \partial B$, $p \neq q$ and let us consider the inversion

$$I : \mathbb{R}^n \setminus \{p\} \to \mathbb{R}^n \setminus \{p\}.$$

This map takes $\overline{B_1} \setminus (\{p\} \cup \Lambda)$ on $\mathbb{R}^n \setminus (B(a, r) \cup \Lambda)$, where $B(a, r)$ is an open ball of center $a \in \mathbb{R}^n$ and radius $r > 0$ and Λ still denotes the singular set. Let us denote by Σ the boundary of $B(a, r)$, that is, $\Sigma = I(\partial B_1)$.

The image of $\partial B \setminus \{p\}$ is a hyperplane Π and by a coordinate choice we may assume $\Pi = \Pi_0 := \{x \in \mathbb{R}^n : x^n = 0\}$. We may suppose that the ball $B(a, r)$ lies below Π_0. Notice that in this case Λ also lies below Π_0.

Since I is a conformal map we have $I^*g = v^{\frac{4}{n-2}}\delta$, where v is the *Kelvin transform* of u on $\mathbb{R}^n \setminus (B(a,r) \cup \Lambda)$.

Thus this metric has constant positive scalar curvature $n(n-1)$ in $\mathbb{R}^n \setminus (B(a,r) \cup \Lambda)$ and nonnegative mean curvature h on Σ.

As before v is a solution of the following problem

$$\begin{cases} \Delta v + \frac{n(n-2)}{4} v^{\frac{n+2}{n-2}} = 0 & \text{in } \mathbb{R}^n \setminus (B(a,r) \cup \Lambda), \\ \frac{\partial v}{\partial \nu} + \frac{n-2}{2r} v + \frac{n-2}{2} h v^{\frac{n}{n-2}} = 0 & \text{on } \Sigma. \end{cases}$$

Also, by hypotheses of contracdition, the mean curvature of the hyperplane Π_0 at $I(q)$ (with respect to $\frac{\partial}{\partial x^n}$) is $H \leq 0$. By applying the boundary equation of the system (1) to Π_0 we obtain $\frac{\partial v}{\partial x^n} + \frac{n-2}{2} H v^{\frac{n}{n-2}} = 0$ on Π_0. Thus we conclude that $\frac{\partial v}{\partial x^n}(I(q)) \geq 0$.

Now we start with the Moving Planes Method. Given $\lambda \geq 0$ we will denote by x_λ the reflection of x with respect to the hyperplane $\Pi_\lambda := \{x \in \mathbb{R}^n : x^n = \lambda\}$ and set $\Omega_\lambda = \{x \in \mathbb{R}^n \setminus (B(a,r) \cup \Lambda) : x^n \leq \lambda\}$. We define

$$w_\lambda(x) = v(x) - v_\lambda(x) \text{ for } x \in \Omega_\lambda,$$

where $v_\lambda(x) := v(x_\lambda)$.

Since the infinity is a regular point of I^*g, we have that

$$v(x) = |x|^{2-n}\Big(a + \sum b_i x^i |x|^{-2}\Big) + O(|x|^{-n})$$

in a neighborhood of infinity. It follows from Lemma 2.3 of [3] that there exist $R > 0$ and $\bar{\lambda} > 0$ such that $w_\lambda > 0$ in interior of $\Omega_\lambda \setminus B(0,R)$, if $\lambda \geq \bar{\lambda}$. Without loss of generality we can choose $R > 0$ such that $B(a,r) \cup \Lambda \subset B(0,R)$.

Now we note that v has a positive infimum, say $v_0 > 0$, in $B(0,R) \setminus (B(a,r) \cup \Lambda)$. It follows from the fact that v is a classical solution to (5) in $B(0,R) \setminus (B(a,r) \cup \Lambda)$. So, since v decays in a neighborhood of infinity, we may choose $\bar{\lambda} > 0$ large enough such that $v_\lambda(x) < v_0/2$, for $x \in B(0,R)$ and for $\lambda \geq \bar{\lambda}$. Thus, for sufficiently large λ we get $w_\lambda > 0$ in $\mathrm{int}(\Omega_\lambda)$.

We also write

$$\Delta w_\lambda + c_\lambda(x) w_\lambda = 0 \text{ in } \mathrm{int}(\Omega_\lambda), \tag{7}$$

where

$$c_\lambda(x) = \frac{n(n-2)}{4} \frac{v(x)^{\frac{n+2}{n-2}} - v_\lambda(x)^{\frac{n+2}{n-2}}}{v(x) - v_\lambda(x)}.$$

Notice that, by definition, w_λ always vanishes on Π_λ. In particular, setting $\lambda_0 = \inf\{\bar{\lambda} > 0 : w_\lambda > 0 \text{ on } \mathrm{int}(\Omega_\lambda), \forall \lambda \geq \bar{\lambda}\}$ we obtain by continuity that w_{λ_0} satisfies (7), $w_{\lambda_0} \geq 0$ in Ω_{λ_0} and $w_{\lambda_0} = 0$ on Π_{λ_0}. Hence, by applying the strong maximum principle, we conclude that either $w_{\lambda_0} > 0$ in $\mathrm{int}(\Omega_{\lambda_0})$ or $w_{\lambda_0} = v - v_{\lambda_0}$ vanishes identically. We point out that the second case occurs only if $\Lambda = \emptyset$.

If $w_{\lambda_0} \equiv 0$, then Π_{λ_0} is a hyperplane of symmetry of v and therefore v extends to a global positive solution of (5) on the entire $\mathbb{R}^n$. Using [3], we conclude that (B_1, g) is a convex spherical cap and the result is obvious.

If $w_{\lambda_0} > 0$ in $\mathrm{int}(\Omega_{\lambda_0})$ we apply the E. Hopf maximum principle to conclude

$$\frac{\partial w_{\lambda_0}}{\partial x^n} = 2\frac{\partial v}{\partial x^n} < 0 \text{ in } \Pi_{\lambda_0}, \tag{8}$$

and since $\frac{\partial v}{\partial x^n}(I(q)) \geq 0$, we have $\lambda_0 > 0$. In this case, by definition of λ_0, we can choose sequences $\lambda_k \uparrow \lambda_0$ and $x_k \in \Omega_{\lambda_k}$ such that $w_{\lambda_k}(x_k) < 0$.

It follows from the work in [11] that w_λ achieves its infimum. Then we may assume, without loss of generality, that x_k is a minimum of w_{λ_k} in Ω_{λ_k}.

We have that $x_k \notin \Pi_k$ because w_{λ_k} always vanishes on Π_{λ_k}. So, either $x_k \in \Sigma$ or is an interior point. Even when x_k is an interior point we claim that $(x_k)_k$ is a bounded sequence. More precisely,

Claim 3.1. [see §2 in [5]] There exists $R_0 > 0$, independent of λ, such that if w_λ solves (7) and is negative somewhere in $\mathrm{int}(\Omega)$, and $x_0 \in \mathrm{int}(\Omega)$ is a minimum point of w_λ, then $|x_0| < R_0$.

For completeness we present a proof in the Appendix.

So, we can take a convergent subsequence $x_k \to \bar{x} \in \Omega_{\lambda_0}$. Since $w_{\lambda_k}(x_k) < 0$ and $w_{\lambda_0} \geq 0$ in Ω_{λ_0} we necessarily have $w_{\lambda_0}(\bar{x}) = 0$ and therefore $\bar{x} \in \partial\Omega_{\lambda_0} = \Pi_{\lambda_0} \cup \Sigma$.

If $x \in \Pi_{\lambda_0}$ then x_k is an interior minimum point to w_{λ_k} and hence $\nabla w_{\lambda_0}(\bar{x}) = 0$ which not ocurrs by inequality (8). Thus we have $\bar{x} \in \Sigma$ and by E. Hopf maximum principle again,

$$\frac{\partial w_{\lambda_0}}{\partial \eta}(\bar{x}) = \frac{\partial v}{\partial \eta}(\bar{x}) - \frac{\partial v}{\partial \eta}(\bar{x}_{\lambda_0}) < 0, \tag{9}$$

where $\eta := -\nu$ is the inward unit normal vector to Σ.

Now, we recall that

$$\frac{\partial v}{\partial \nu} + \frac{n-2}{2r}v + \frac{n-2}{2}hv^{\frac{n+2}{n-2}} = 0 \ \text{ on } \ \Sigma. \tag{10}$$

Thus, since $v(\bar{x}) = v(\bar{x}_{\lambda_0})$ we have from (9) and (10) that the mean curvature of Σ_{λ_0} at $\overline{x}_{\lambda_0}$ (with respect to the inward unit normal vector) is strictly less than $-h$.

Since $h \geq 0$, we have that $\bar{x}_{\lambda_0}$ is a non convex point in the reflected sphere Σ_{λ_0} Considering the problem back to B_1 we denote by K_1 the ball corresponding to the ball whose boundary is Σ_{λ_0} and by P_1 the ball corresponding to $\Pi^+_{\lambda_0}$. Thus we have obtained a strictly smaller ball $K_1 \subset B$ with non convex boundary which is the reflection of ∂B_1 with respect to ∂P_1.

We can repeat this argument to obtain a sequence of balls with non convex points on the boundaries, $B \supset K_1 \supset \cdots \supset K_j \supset \cdots$.

This sequence cannot converge to a point, since small balls are always convex. On the other hand, if $K_j \to K_\infty$ where K_∞ is not a point, then

$K_\infty \subset B$ is a ball in $B_1 \setminus \Lambda$ such that its boundary is the reflection of ∂B_1 with respect to to itself, that is a contradiction.

Appendix A. Proof of Claim 3.1

First write (7) setting $c_\lambda(x) = 0$ when $w_\lambda(x) = 0$. Fix $0 < \mu < n-2$ and define $g(x) = |x|^{-\mu}$ and $\phi(x) = \frac{w_\lambda(x)}{g(x)}$. Then, using the equation (7),

$$\Delta\phi + \frac{2}{g}\langle \nabla g, \nabla \phi\rangle + \left(c_\lambda(x) + \frac{\Delta g}{g}\right)\phi = 0.$$

By a computation we get $\Delta g = -\mu(n-2-\mu)|x|^{-\mu-2}$, that is,

$$\frac{\Delta g}{g} = -\mu(n-2-\mu)|x|^{-2}.$$

On the other hand, the expansion of v in a neighborhood of infinity implies that $w_\lambda(x) = O(|x|^{2-n})$ and consequently $c_\lambda(x) = O(|x|^{-n-2-2+n}) = O(|x|^{-4})$. Hence we obtain

$$c_\lambda(x) + \frac{\Delta g}{g} \leq C(|x|^{-4} - \mu(n-2-\mu))|x|^{-2}).$$

In particular $c(x) + \frac{\Delta g}{g} < 0$ for large $|x|$. Choose R_0 with $B(a,r) \cup \Lambda \subset B(0, R_0)$ such that

$$C(|x|^{-4} - \mu(n-2-\mu))|x|^{-2}) < 0, \text{ for } |x| \geq R_0. \tag{11}$$

Now let $x_0 \in \operatorname{int}(\Omega_\lambda)$ so that $w_\lambda(x_0) = \inf_{\operatorname{int}(\Omega_\lambda)} w_\lambda < 0$.

Since $\lim_{|x|\to+\infty} \phi(x) = 0$ and $\phi(x) \geq 0$ on $\partial\Omega_\lambda$, there exists $\bar{x}_0$ such that ϕ has its minimum at $\bar{x}_0$. By applying the maximum principle for ϕ at $\bar{x}_0$ we get $c_\lambda(\bar{x}_0) + \frac{\Delta g(\bar{x}_0)}{g} \geq 0$ and by (11), $|\bar{x}_0| < R_0$. Now we have

$$\begin{aligned} \frac{w_\lambda(x_0)}{g(\bar{x}_0)} &\leq \frac{w_\lambda(\bar{x}_0)}{g(\bar{x}_0)} = \phi(\bar{x}_0) \\ &\leq \phi(x_0) = \frac{w_\lambda(x_0)}{g(x_0)}. \end{aligned}$$

This implies $|x_0| \leq |\bar{x}_0| \leq R_0$ and proves the claim.

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